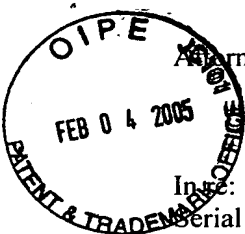


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Attorney Docket No. 5405-316CTIPDV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Richard M. Knox et al.

Serial No.: 10/824,672

Filed: April 14, 2004

For: METHODS OF FORMING LOCALIZED POSITIVE OPTICAL POWER UNITS IN A SUBSTRATE

Confirmation No. 6285

Examiner: Michael Colaianni

Group Art Unit: 1732

February 2, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

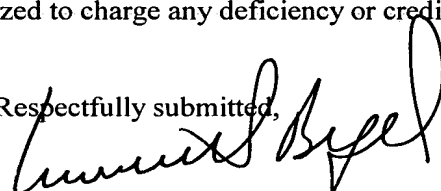
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 CFR 1.97)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

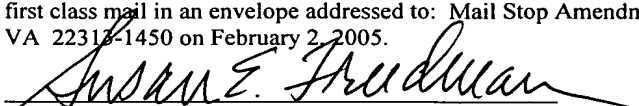
Respectfully submitted,


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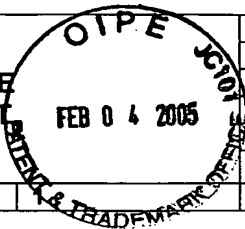
Certificate of Mailing under 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 2, 2005.


Susan E. Freedman

Date of Signature: February 2, 2005

Substitute form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/824,672
		Filing Date	04/14/2004
		First Named Inventor	Richard M. Knox
		Group Art Unit	1732
		Examiner Name	Michael Colaanni
Sheet 1 of	Attorney Docket Number	5405-316CTIPDV	



U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	US-6,700,702	B2	Sales	03/02/2004
	2.	US-2004/0004770	A1	Ebina et al.	01/08/2004
	3.	US-6,597,502	B2	Takahashi et al.	07/22/2003
	4.	US-6,590,605	B1	Eichenlaub	07/08/2003
	5.	US-6,552,848	B2	Kobayashi	04/22/2003
	6.	US-6,469,820	B1	Dubin et al.	10/22/2002
	7.	US-6,353,500	B1	Guigan et al.	03/05/2002
	8.	US-6,317,263	B1	Moshrefzadeh et al.	11/13/2001
	9.	US-6,185,038		Yamaguchi et al.	02/06/2001
	10.	US-6,128,054		Schwarzenberger	10/03/2000
	11.	US-5,870,224		Saitoh et al.	02/09/1999
	12.	US-5,801,794		Lehureau et al.	09/01/1998
	13.	US-5,694,246		Aoyama et al.	12/02/1997
	14.	US-5,657,408		Ferm et al.	08/12/1997
	15.	US-5,166,824		Nishiguchi et al.	11/24/1992
	16.	US-4,982,214		Matsui	01/01/1991
	17.	US-4,076,384		Demi et al.	02/28/1978
	18.	US-3,893,748		De Palma et al.	07/08/1975
	19.	US-1,942,841		Shimizu	01/09/1934

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (If known)			
	20.	JP	11-295504	A	Sumitomo Electric Ind Ltd	10/29/1999	
	21.	JP	9-258007	A	Victor Co of Japan Ltd	10/03/1997	
	22.	JP	5-313153	A	Canon Inc.	11/26/1993	

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	23.	"Notification of Transmittal of The International Search Report and the Written Opinion of the International Searching Authority, or the Declaration", "International Search Report" and "Written Opinion of the International Searching Authority", PCT/US04/17449, 12/29/2004	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.